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TO: Examiner: Tvan Art Unit: 2179 Fax: 1-571- 273- 8300
From: Edwin H. Crabtree, Patent Attorney
Date: 3-28-2006
Number of Pages Including This One: 9
Notes: To: Mail Stop AF Please See the Following: 1. I page Re-Appeal Brief Reguest. 2. I page Notice of Appeal. 3. I page PTO Credit Land Payment Form. 4. 5 page Pre-Appeal Brief. Thank You!
CERTIFICATE OF RECEIPT BY FACSIMILE UNDER 37 CFR 1.6
I hereby certify that this correspondence is being transmitted by facsimile to the U.S. Patent and Trademark Office. Art Unit: 217 C. Fax: 1-571- 273-8300. Alexandria. Virginia 22313 on March 28,2006 Date: 3/29/06 Zavin H. (validice) Applicant's Attorney

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Sally Crabtree

Doc Code: AP.PRE.REQ

PTO/SB/33 (07-05)
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Docket Number (Optional)** PRE-APPEAL BRIEF REQUEST FOR REVIEW Bisque - App I hereby certify that this correspondence is being deposited with the Application Number United States Postal Service with sufficient postage as first class mail 1-2·200Z 10/041,971 In an envelope addressed to "Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] 28,200 Nlavich First Named Inventor Matthew L. Signature, Art Unit Typed or printed name Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request. This request is being filed with a notice of appeal. The review is requested for the reason(s) stated on the attached sheet(s). Note: No more than five (5) pages may be provided. I am the applicant/inventor. assignee of record of the entire Interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96) attorney or agent of record. ZG .720 Registration number _ attorney or agent acting under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34

This collection of information is required by 35 U.S.C. 132. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.8. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.

Submit multiple forms if more than one signature is required, see below*.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Matthew L. Bisque et al.

Serial Number: 10/041,971

Art Unit: 2179

Filed: January 2, 2002

Examiner: Mylinh T. Tran

For:

SYSTEM FOR OPERATING AN ASTRONOMICAL OBSERVATORY

OVER A NETWORK

PRE-APPEAL BRIEF REQUEST FOR REVIEW

Mail Stop- After Final **Commissioner of Patents** P.O. Box 1450 Alexandria, Virginia 22313

Sir:

Responsive to the Office Action mailed December 30, 2005 (hereinafter "the 12/30/2005 Office Action") and the Advisory Action mailed March 1, 2006, and further to the Amendment After Final Rejection filed February 10, 2006, please see the following Pre-Appeal Brief Request for Review.

REMARKS

In the 12/30/2005 Office Action, the Examiner finally rejected (I) claims 1-4, 8, and 9 under 35 U.S.C. § 102(e) as allegedly being anticipated by U.S. Patent Application Publication No. 2002/0173877 to Zweig (hereinafter "Zweig"); and (II) claims 5-7 and 10-30 under 35 U.S.C. § 103(a) as allegedly being unpatentable over Zweig. Applicants traverse these rejections on various grounds, including the following.

L **CLAIMS 1-4, 8, AND 9.**

Applicants traverse the rejection of claims 1-4, 8, and 9 under 35 U.S.C. § 102(e) as allegedly being anticipated by Zweig on the grounds that the rejection is improper at least because Zweig does not disclose each and every feature of the claimed invention. For example, claim 1 recites inter alia the features of "a web browser, said web browser providing means for a user to be able to send requests to an astronomical observatory at an observatory site, and receive the

status and results of these requests by utilizing an http protocol, said web browser further providing a rich graphical interface for the user which may include displays of the status and results of requests made by the user to various components of the system as they occur in real time; [and] a set of astronomical hardware, said set of astronomical hardware being located at the observatory site and supplying means for making celestial observations and for capturing these observations in a digital format so that they may be transmitted to said web browser and displayed for the user." At least this combination of features is not disclosed in the prior art.

The Examiner, in applying the disclosure of Zweig to the claimed invention, has mixed and matched various features from separate and distinct systems described in the disclosure of Zweig to create a combination of features that allegedly anticipates the claimed invention. This modification and combination of the discrete systems described separately in the reference is improper in a rejection under § 102.

For instance, the Examiner asserts that Zweig discloses an apparatus for operating an astronomical observatory to make celestial observations, and capture these observations in a digital format in paragraph 18. See, the 12/30/2005 Office Action at page 2. In actuality, the cited paragraph of Zweig discloses controlling a telescope from a web browser using commands that are written in Astronomical Instrument Markup Language (hereinafter "AIML"). See, Zweig at paragraph 18. However, the described embodiment does not provide all of the features of the claimed invention, such as enabling, via a web browser, displays of real-time status and results of the AIML commands. This may be due in part to the fact that AIML systems typically are not capable of providing realtime results to the browser that is generating the AIML commands.

To provide a teaching of displaying real-time status and results of browser-based commands, the Examiner applies the disclosure of Zweig at paragraphs 11, 12, and 56. These paragraphs describe systems that are distinct and separate from the telescope control apparatus described in paragraph 18. Specifically, these paragraphs describe using Common Gateway Interface

(hereinafter "CGI") to control robotic systems. Paragraphs 11 and 12 discuss various prior art systems that implement CGI, and paragraph 56 describes the use of CGI control in the invention of Zweig. Neither of the systems described in the passages cited by the Examiner explicitly discusses controlling a telescope via CGI, and therefore these systems do not anticipate the claimed feature of controlling astronomical equipment via a web browser.

Thus, the Examiner has impermissibly combined descriptions of robotic control systems described as separate and distinct systems in Zweig in an attempt to formulate a rejection of claim 1 as being anticipated by Zweig. This modification of the systems disclosed in Zweig is improper for the purposes of a rejection under § 102 based on anticipation of the reference. For at least this reason, the rejection of claim 1 is improper and must be withdrawn. Further, claims 2-4, 8, and 9 depend from claim 1. Therefore, the rejection of claims 2-4, 8, and 9 must be withdrawn at least based on their dependency, as well as for the features that they add to claim 1.

II. **CLAIMS 5-7 and 10-30.**

Applicants traverse the rejection of claims 5-7 and 10-30 under 35 U.S.C. § 103(a) as allegedly being unpatentable over Zweig on the grounds that the rejection is improper at least because (A) Zweig fails to teach or suggest all of the features of the claimed invention, and (B) there is no motivation to modify any of the systems described in Zweig to provide the combination of features found in the claimed invention.

Zweig fails to teach or suggest all of the features of the claimed A. invention.

Although the Examiner proposes various modifications to Zweig in rejecting claims 5-7 and 10-30 (see, the 12/30/2005 Office Action at pages 5-10), none of the proposed modifications address the deficiencies of Zweig discussed above. Further, in paragraph 19 Zweig teaches away from modifying the described prior art system for controlling a telescope (paragraph 18) using the disclosed mobile robot control system that employs the CGI control set forth in paragraph 56.

Examiner for several of the modifications is "to help the user to control the astronomical observatory through a web server." See id. In providing this motivational statement, the Examiner has not cited any direct evidence that it was known in the art at the time of the invention that the modifications would have resulted in enhanced control. See, In re Rouffet, 149 F.3d 1350, 1357, 47 USPQ2d 1453, 1457-1458 (Fed. Cir. 1998). Further, even if the Examiner had provided such evidence, the rejection would still be improper because the motivational statement must focus on the desirability of the proposed combination, and not simply its effects. See, In re Sang Su Lee, 277 F.3d 1338, 61 U.S.P.Q.2d 1430 (Fed.Cir. 2002)(citation omitted). Accordingly, the motivation provided by the Examiner is not legally proper, and these rejections must be withdrawn.

CONCLUSION

Applicants submit the preceding Remarks with the disclaimer that the arguments contained therein are not comprehensive, and that additional arguments regarding the impropriety of the Examiner's rejections exist. Applicants reserve the right to include some or all of these additional arguments in an Appeal Brief, in the event of an unfavorable decision on this Request.

In spite of the limited nature of the arguments presented in this Request, reconsideration and allowance of the application are anticipated in view of the foregoing Remarks. Should the examiner have any questions regarding this amendment it is urged that she contact the undersigned.

Respectfully submitted,

Edwin H. Crabtree 3/28/2006 Edwin H. Crabtree, Patent Attorney

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